# CS294-248 Special Topics in Database Theory Unit 6: Constraints, Incomplete and Probabilistic Databases (Part 2) 

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## Outline

- Tuesday: Generalized Constraints, Semantics Optimization.
- Today: Repairs, Incomplete Databases


## Recap: Generalized Dependencies

Tuple-Generating Dependency (TGD):

$$
\forall \boldsymbol{x}\left(A_{1} \wedge \ldots \wedge A_{m} \Rightarrow \exists \boldsymbol{y}\left(B_{1} \wedge \cdots \wedge B_{k}\right)\right)
$$

The TGD is full if there is no $\exists \boldsymbol{y}$

Equality-Generating Dependency (EGD):

$$
\forall \mathbf{x}\left(A_{1} \wedge \ldots \wedge A_{m} \Rightarrow x_{i}=x_{j}\right)
$$

## Recap: Chase

Given $\theta: A \rightarrow Q$, a chase step is $Q \xrightarrow{\sigma, \theta} Q^{\prime}$, where

- If $\sigma \equiv \forall \boldsymbol{x}(A \Rightarrow \exists \boldsymbol{y} B)$, then $Q^{\prime}=Q \wedge \theta(B)$.
- If $\sigma \equiv \forall \boldsymbol{x}\left(A \Rightarrow\left(x_{i}=x_{j}\right)\right)$, then $Q^{\prime}=Q\left[x_{j} / x_{i}\right]$.

Key property: $\sigma \models Q \equiv Q^{\prime}$.

Repairs for FDs

## Definition

Consider a set of constraints $\Sigma$ and a database $\boldsymbol{D}$.
$\boldsymbol{D} \not \vDash \Sigma$.

The Database Repair Problem
Find another database $\boldsymbol{D}^{\prime}$ such that $\boldsymbol{D}^{\prime} \models \Sigma$ and $\left|\boldsymbol{D} \Delta \boldsymbol{D}^{\prime}\right|$ is minimal.
(Recall: $S_{1} \Delta S_{2}=\left(S_{1}-S_{2}\right) \cup\left(S_{2}-S_{1}\right)$.)

Equivalently: perform a minimum number of updates to satisfy $\Sigma$.

## The FD-Repair Problem

$\Sigma$ is a set of FDs

The updates are restricted to be be deletions

Given $\boldsymbol{D}$, delete minimum number of tuples to obtain $\boldsymbol{D}^{\prime} \subseteq \boldsymbol{D}$ and $\boldsymbol{D}^{\prime} \models \boldsymbol{\Sigma}$.

We study the complexity as a function of $|\boldsymbol{D}|$ following [Livshits et al., 2020].

## Example 1: Repairing $A \rightarrow B$

$$
A \rightarrow B
$$

| $A$ | $B$ | $C$ | $D$ |
| :---: | :---: | :---: | :---: |
| $a_{1}$ | $b_{1}$ | $c_{1}$ | $\cdots$ |
| $a_{1}$ | $b_{2}$ | $c_{1}$ | $\cdots$ |
| $a_{1}$ | $b_{2}$ | $c_{2}$ | $\cdots$ |
| $a_{2}$ | $b_{1}$ | $c_{1}$ | $\cdots$ |
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Compute optimal repair. How?

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Group the tuples by $A$ In each group $a_{1}, a_{2}, \ldots$ keep only one $b_{j}$ (the most frequent).

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$$
A \rightarrow B C
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| :---: | :---: | :---: | :---: |
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Same as before: treat $B C$ as a single attribute.

## Example 3: $A \rightarrow B \rightarrow C$

$$
A \rightarrow B \rightarrow C
$$

| $A$ | $B$ | $C$ | $D$ |
| :---: | :---: | :---: | :---: |
| $a_{1}$ | $b_{1}$ | $c_{1}$ | $\cdots$ |
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Compute optimal repair. How?
This is NP-hard!

Reduction from Max-SAT

Theorem ([Williams, 2016])
The problem given a 2CNF, check $\geq 7 / 10$ clauses can be satisfied is NP-complete.

## Proof for $A \rightarrow B \rightarrow C$

Start with a $2 C N F$ formula $\Phi=C_{1} \wedge C_{2} \wedge \cdots \wedge C_{n}$
Create a relation instance $R(A, B, C)$ as follows:

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For each clause $C_{i}=((\neg) X \vee(\neg) Y)$ add two tuples to $R$

- Tuple $(i, X, 0)$ or $(i, X, 1)$, depending on whether $\neg X$ or $X$
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Claim $\geq 7 n / 10$ clauses can be satisfied iff $\exists$ repair of size $\geq 7 n / 10$.
Proof $A \rightarrow B$ ensures that we retain $\leq 1$ tuple per clause
$B \rightarrow C$ ensures that we assign consistent values to the same variable.

## Discussion so Far

## $A \rightarrow B$ in PTIME

## $A \rightarrow B C$ in PTIME

$A \rightarrow B \rightarrow C$ NP-hard

What's the general rule?

## Unusual FDs

We are familiar with $A B \rightarrow C D$ or $A \rightarrow C$.

What does $A \rightarrow \emptyset$ mean?

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It is always true.

What does $\emptyset \rightarrow A$ mean?
$A$ has a single value.

## Example 4: $\emptyset \rightarrow A$

$$
\emptyset \rightarrow A
$$

| $A$ | $B$ | $C$ | $D$ |
| :---: | :---: | :---: | :---: |
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We keep a single value of $A$, namely the most frequent one.

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Now consider:

$$
\begin{aligned}
& \emptyset \rightarrow A \\
& B \rightarrow C
\end{aligned}
$$

Compute optimal repair. How?

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Compute optimal repair. How?
For each $A=a_{i}$ compute optimal repair of $B \rightarrow C$, keep the largest.

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Compute optimal repair. How?
For each $A=a_{i}$ compute optimal repair of $B \rightarrow C$, keep the largest.

Consensus rule: if $\Sigma$ contains $\emptyset \rightarrow A$, then compute the optimal repair for each value $A=a_{1}, a_{2} \ldots$, return the largest.

## Example 5

$$
\begin{aligned}
& A \rightarrow B \\
& A C \rightarrow D
\end{aligned}
$$

| $A$ | $B$ | $C$ | $D$ |
| :---: | :---: | :---: | :---: |
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For each value $A=a_{i}$, compute the optimal repair of the residual:

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& C \rightarrow D
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Use the consensus rule.

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& C \rightarrow D
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$$

Use the consensus rule.

Compute optimal repair. How?
Common LHS rule: if all LHS contain $A, \Sigma=\left\{A X_{1} \rightarrow Y_{1}, A X_{2} \rightarrow Y_{2}, \ldots\right\}$, then repair separately each $A=a_{i}$.

## Example 6

$$
\begin{aligned}
& A \rightarrow B \\
& B \rightarrow A
\end{aligned}
$$

| $A$ | $B$ | $C$ | $D$ |
| :---: | :---: | :---: | :---: |
| $a_{1}$ | $b_{1}$ | $c_{1}$ | $\cdots$ |
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Compute optimal repair. How?

## Example 6

$$
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| $A$ | $B$ | $C$ | $D$ |
| :---: | :---: | :---: | :---: |
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Find a maximal matching the bipartite graph $\left(A, B, \Pi_{A B}(R)\right)$.

A maximal matching in a bipartite graph can be found in PTIME using the "Hungarian Algorithm".

## Last Example

$$
\begin{aligned}
& A \rightarrow B \\
& B \rightarrow A \\
& A B \rightarrow C
\end{aligned}
$$

| $A$ | $B$ | $C$ | $D$ |
| :---: | :---: | :---: | :---: |
| $a_{1}$ | $b_{1}$ | $c_{1}$ | $\cdots$ |
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For each pair $A=a_{i}, B=b_{j}$ compute optimal repair.

Weight of edge $\left(a_{i}, b_{j}\right)$ is the size of the repair.

Find a maximal weighted matching in bipartite graph.

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Find a maximal weighted matching in bipartite graph.

Marriage Rule

## The Algorithm

[Livshits et al., 2020]
Given $\Sigma, R$, compute minimal repair that satisfies $\Sigma$.

- If $\Sigma=\emptyset$ then return $R$.
- Common LHS Rule If all LHS contain $A$, then repair each $A=a_{i}$.

Return their union.

## The Algorithm

[Livshits et al., 2020]
Given $\Sigma, R$, compute minimal repair that satisfies $\Sigma$.

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- Consensus Rule If $\Sigma$ contains $\emptyset \rightarrow A$, then repair each $A=a_{i}$. Return the best repair.


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- Consensus Rule If $\Sigma$ contains $\emptyset \rightarrow A$, then repair each $A=a_{i}$. Return the best repair.
- Marriage Rule If $\boldsymbol{U}^{+}=\boldsymbol{V}^{+}$and every rule has on the LHS either $\boldsymbol{U}$ or $\boldsymbol{V}$, then compute optimal repair for all pairs $\boldsymbol{U}=\boldsymbol{u}_{i}, \boldsymbol{V}=\boldsymbol{v}_{j}$

Return maximal matching in weighted bipartite graph.

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Return maximal matching in weighted bipartite graph.

- None of the above? Fail The problem is NP-hard.


## Discussion

- Repairing for FDs: Dichotomy Theorem in [Livshits et al., 2020]. For each $\Sigma$, the the problem is either in PTIME or NP-hard.
- Data Exchange. Constraints are TGDs, LHS restricted to an input source database, RHS restricted to a target database. The repair is done via chase.
- A few other hardness results are known for repairing specific constraints (e.g. denial constraints).
- Related to the MAP problem in graphical models.


# Incomplete Databases 

## Incomplete Databases

- A simple, pure theoretical concept that allows us to reason about different possible states of the database.
- Originally introduced by Imielinski and Lipski [Imielinski and Jr., 1984].
- I used these references: [Abiteboul et al., 1995, Chap.19], [Green and Tannen, 2006], [Libkin, 2014].


## Definition

Recall: a database instance is $\boldsymbol{D}=\left(R_{1}^{D}, R_{2}^{D}, \ldots\right)$.
Let $\mathcal{N}$ be the set of all database instances.

## Definition

An incomplete database is a set $\mathcal{I} \subseteq \mathcal{N}$.

Example all possible repairs of $\boldsymbol{D}$ w.r.t. $\boldsymbol{\Sigma}, \mathcal{I}=\left\{\boldsymbol{D}_{1}, \boldsymbol{D}_{2}, \ldots\right\}$.

Possible Worlds, PWD.

## Problems

How do we represent an incomplete database compactly?

How do we compute queries over incomplete databases?

## Representation

## Representations

- Codd tables.
- v-tables of naive tables.
- c-tables or conditional-tables. Special case:
- ?-tables
- or-tables


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## v-Tables

Dom $=$ and infinite domain of values: $a, b, c, \ldots$ Null $=$ an infinite set of marked NULLs: $\perp_{1}, \perp_{2}, \ldots$

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A v-table (a.k.a. naive table) is a finite set $R^{\prime} \subseteq(\text { Dom } \cup \text { Null })^{k}$. Its semantics is: $\left[\left[R^{\prime}\right]\right]=\left\{\nu\left(R^{\prime}\right) \mid \nu:\right.$ Null $\rightarrow$ Dom $\}$.

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Example $R^{\prime}=$

| Name | City |
| :---: | :---: |
| Alice | $\perp_{1}$ |
| Bob | SF |
| Carol | $\perp_{2}$ |
| Dave | $\perp_{1}$ |

What is $\left[\left[R^{\prime}\right]\right]$ ?

## $v$-Tables

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| Dave | $\perp_{1}$ |

What is $\left[\left[R^{\prime}\right]\right]$ ?

| Name | City |
| :---: | :---: |
| Alice | $a$ |
| Bob | SF |
| Carol | $a$ |
| Dave | $a$ |


| Name | City |
| :---: | :---: |
| Alice | $a$ |
| Bob | SF |
| Carol | $b$ |
| Dave | $a$ |


| Name | City |
| :---: | :---: |
| Alice | $a$ |
| Bob | SF |
| Carol | $c$ |
| Dave | $a$ |

Single restriction: Alice and Dave are in the same "City".

## Codd Tables

## Definition

A Codd table is a v-table where all marked nulls are distinct.

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Example $R^{\prime}=$

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| :---: | :---: |
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| Dave | $\perp_{3}$ |

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## Definition

A Codd table is a v-table where all marked nulls are distinct.

Example $R^{\prime}=$

| Name | City |
| :---: | :---: |
| Alice | $\perp_{1}$ |
| Bob | SF |
| Carol | $\perp_{2}$ |
| Dave | $\perp_{3}$ |

$$
\text { What is }\left[\left[R^{\prime}\right]\right] ?
$$

Same as before, but now there is no restriction for Alice and Dave to be in the same city.

## C-Tables

## Definition

A C-table is a v-table where tuples are annotated with Boolean formulas, plus one global formula $\Phi$.

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## Definition

A C-table is a v-table where tuples are annotated with Boolean formulas, plus one global formula $\Phi$.

Example $R^{\prime}=$

| Name | City |  |
| :---: | :---: | :--- |
| Alice | $\perp_{1}$ |  |
| $X_{1}$ |  |  |
| Bob | SF |  |
| $X_{1} \wedge\left(\perp_{2}={ }^{\prime} \mathrm{SF}^{\prime}\right)$ |  |  |
| Carol | $\perp_{2}$ | true |
| Dave | $\perp_{1}$ | $X_{2}$ |
| $\Phi=X_{1} \vee$ |  | $X_{2}$ |

## C-Tables

## Definition

A C-table is a v-table where tuples are annotated with Boolean formulas, plus one global formula $\Phi$.

Example $R^{\prime}=$

| Name | City |  |
| :---: | :---: | :---: |
| Alice | $\perp_{1}$ | $X_{1}$ |
| Bob | SF | $X_{1} \wedge\left(\perp_{2}={ }^{\prime} \mathrm{SF}^{\prime}\right)$ |
| Carol | $\perp_{2}$ | true |
| Dave | $\perp_{1}$ | $X_{2}$ |
| $=X_{1}$ |  |  |

Alice, Bob present only if $X_{1}=$ true.

Bob is present only if, in addition, Carol lives in SF

Dave is present only if $X_{2}=$ true.
Alice or Dave or both are present.

## Special case of C-Tables: Maybe Tables

## Definition

A maybe-table, or ?-table is a conventional table $R^{I}$ where each tuple is annotated by a ?. Semantics: $\left[\left[R^{\prime}\right]\right]=\left\{R \mid R \subseteq R^{\prime}\right\}$.

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A maybe-table, or ?-table is a conventional table $R^{\prime}$ where each tuple is annotated by a ?. Semantics: $\left[\left[R^{\prime}\right]\right]=\left\{R \mid R \subseteq R^{\prime}\right\}$.

Example $R^{\prime}=$

| Name | City |  |
| :---: | :---: | :---: |
| Alice | Seattle | $?$ |
| Bob | SF | $?$ |
| Carol | Boston | $?$ |
| Dave | Seattle |  |

Semantics: $\mathcal{P}\left(R^{\prime}\right)$ (16 possible worlds).
This is a special of a c-table. Why?

## Special case of C-Tables: OR-Table

## Definition

An or-table is like a conventional table where each value can be an or-set.
An or-set, is a set whose meaning is "exactly one of its elements". E.g. $\langle a, b, c\rangle$ means $a$ or $b$ or $c$.

## Special case of C-Tables: OR-Table

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Example $R^{I}=$

$$
\text { What is }\left[\left[R^{\prime}\right]\right] ?
$$

| Name | City |
| :---: | :---: |
| Alice | $\langle$ SF, Boston $\rangle$ |
| Bob | SF |
| Carol | Boston |
| Dave | $\langle$ Seattle, SF $\rangle$ |

## Special case of C-Tables: OR-Table

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An or-table is like a conventional table where each value can be an or-set.
An or-set, is a set whose meaning is "exactly one of its elements". E.g. $\langle a, b, c\rangle$ means $a$ or $b$ or $c$.

Example $R^{\prime}=$

| Name | City |
| :---: | :---: |
| Alice | $\langle$ SF, Boston $\rangle$ |
| Bob | SF |
| Carol | Boston |
| Dave | $\langle$ Seattle, SF $\rangle$ |

What is $\left[\left[R^{/}\right]\right] ?$

| Name | City |
| :---: | :---: |
| Alice | SF |
| Bob | SF |
| Carol | Boston |
| Dave | Seattle |


| Name | City |
| :---: | :---: |
| Alice | SF |
| Bob | SF |
| Carol | Boston |
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| Name | City |
| :---: | :---: |
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| Name | City |
| :---: | :---: |
| Alice | Boston |
| Bob | SF |
| Carol | Boston |
| Dave | SF |

## Discussion

- Incomplete databases are a very general abstraction, meant to capture several scenarios:
- Standard NULLs define an incomplete database.
- Repairs for FDs can be described as an incomplete database.
- Or-sets are a natural way to express alternatives.
- We saw incomplete tables; this extends to incomplete databases.
- We used the Closed World Assumption, CWA. Alternative: Open World Assumption, OWA.
- An incomplete database system: [Antova et al., 2007].


# Queries on Incomplete Databases 

## Querying an Incomplete Database

Fix a query $Q$.

## Definition

If $\mathcal{I}=\left\{\boldsymbol{D}_{1}, \boldsymbol{D}_{2}, \ldots\right\}$ is an incomplete database, then

$$
Q(\mathcal{I}) \stackrel{\text { def }}{=}\left\{Q\left(\boldsymbol{D}_{1}\right), Q\left(\boldsymbol{D}_{2}\right), \ldots\right\}
$$

How do we represent $Q(\mathcal{I})$ ?

## Closed Representation System

Fix a representation system $\mathcal{R}$ (e.g. v-tables) and a query language $\mathcal{L}$ (e.g. CQ or FO).

## Definition

$\mathcal{R}$ is closed under $\mathcal{L}$, if for any $\boldsymbol{D}^{\prime} \in \mathcal{R}$ and any query $Q \in \mathcal{L}$, there exists a representation $A^{\prime}$ for the query answer, in other words $\left[\left[A^{\prime}\right]\right]=Q\left(\left[\left[\boldsymbol{D}^{\prime}\right]\right]\right)$.

## Closed Representation Systems

## Fact

V-tables are not closed under FO:

$$
\text { Proof } Q(X)=R(X) \wedge \neg S(X), \quad R=\{1,2\}, S^{\prime}=\{\perp\}
$$

Then $Q\left(\left[\left[R, S^{\prime}\right]\right]\right)=\{\{1,2\},\{1\},\{2\}\}$; not representable as a $v$-table.

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## Fact

V-tables are not closed under FO:
Proof $Q(X)=R(X) \wedge \neg S(X), \quad R=\{1,2\}, S^{\prime}=\{\perp\}$
Then $Q\left(\left[\left[R, S^{\prime}\right]\right]\right)=\{\{1,2\},\{1\},\{2\}\}$; not representable as a $v$-table.

Theorem
C-tables are closed under FO.

## Discussion

Computing and representing all possible answers $Q(\mathcal{I})$ is difficult, and often not very informative.

A better alternative: certain answers

Also an option (but less desirable): possible answers

## Certain Answers and Possible Answers

## Definition

A certain tuple is a tuple $t$ s.t. $\forall \boldsymbol{D} \in \mathcal{I}, t \in Q(\boldsymbol{D})$. Their set: $\operatorname{cert}(Q, \mathcal{I})$ A possible tuple is a tuple $t$ s.t. $\exists \boldsymbol{D} \in \mathcal{I}, t \in Q(\boldsymbol{D})$. Their set: $\operatorname{poss}(Q, \mathcal{I})$

Equivalently:

$$
\begin{aligned}
& \operatorname{cert}(Q, \mathcal{I})=\bigcap\{Q(\boldsymbol{D}) \mid \boldsymbol{D} \in \mathcal{I}\} \\
& \operatorname{poss}(Q, \mathcal{I})=\bigcup\{Q(\boldsymbol{D}) \mid \boldsymbol{D} \in \mathcal{I}\}
\end{aligned}
$$

## Example

Querying v-tables:

$$
\begin{gathered}
R^{\prime}=\begin{array}{|l|l|}
\hline x & \perp_{1} \\
y & \perp_{1} \\
z & \perp_{2}
\end{array} \\
Q(X, Z)=R(X, Y) \wedge S(Y, Z)
\end{gathered} \quad S^{\prime}=\begin{array}{|l|l|}
\hline \perp_{1} & a \\
\perp_{2} & b \\
\perp_{2} & c \\
\perp_{3} & d \\
\hline
\end{array}
$$

What are the certain tuples? The possible tuples?

## Example

Querying v-tables:

$$
Q(X, Z)=R(X, Y) \wedge S(Y, Z)
$$

$$
R^{\prime}=\begin{array}{|l|l|}
\hline x & \perp_{1} \\
y & \perp_{1} \\
z & \perp_{2} \\
\hline
\end{array} \quad S^{\prime}=\begin{array}{|l|l|}
\hline \perp_{1} & a \\
\perp_{2} & b \\
\perp_{2} & c \\
\perp_{3} & d \\
\hline
\end{array}
$$

What are the certain tuples? The possible tuples?
$\operatorname{cert}(Q, \mathcal{I})=$

| $x$ | $a$ |
| :--- | :--- |
| $y$ | $a$ |
| $z$ | $b$ |
| $z$ | $c$ |



## Strong/Weak Representation Systems

Following [Libkin, 2014].
Fix a representation system $\mathcal{R}$, query language $\mathcal{L}$.
$\mathcal{R}$ is a strong representation system for $\mathcal{L}$ if it is closed under $\mathcal{L}$, i.e. for all $D^{\prime} \in \mathcal{R}, Q \in \mathcal{L}, \exists A^{\prime} \in \mathcal{R}$ such that:

$$
\left[\left[A^{\prime}\right]\right]=\left\{Q(\boldsymbol{D}) \mid \boldsymbol{D} \in\left[\left[\boldsymbol{D}^{\prime}\right]\right]\right\}
$$

$\mathcal{R}$ is a weak representation system for $\mathcal{L}$ if for all $D^{\prime} \in \mathcal{R}, Q \in \mathcal{L}, \exists A^{\prime} \in \mathcal{R}$ such that, for all $q \in \mathcal{L}$

$$
\operatorname{cert}\left(q,\left[\left[A^{\prime}\right]\right]\right)=\operatorname{cert}\left(q,\left\{Q(\boldsymbol{D}) \mid \boldsymbol{D} \in\left[\left[\boldsymbol{D}^{\prime}\right]\right]\right\}\right)
$$

In other words, we cannot represent the possible answers exactly, but we can represent all the certain answers on all future queries $q$.

# V-Tables are a Weak Representation System for UCQs 

Theorem
V-tables are a weak representation system for UCQs.

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V-tables are a weak representation system for UCQs.

$$
R^{\prime}=\begin{array}{|l|l}
\hline x & \perp_{1} \\
y & \perp_{1} \\
z & \perp_{2} \\
\hline
\end{array} S^{\prime}=\begin{array}{|l|l|}
\hline \perp_{1} & a \\
\perp_{2} & b \\
\perp_{2} & c \\
\perp_{3} & d \\
\hline
\end{array}
$$

$Q(X, Y, Z)=R(X, Y) \wedge S(Y, Z)$

V-Tables are a Weak Representation System for UCQs

Theorem
$V$-tables are a weak representation system for UCQs.


$$
Q\left(R^{\prime}, S^{\prime}\right)=\begin{array}{|c|c|c|}
\hline x & \perp_{1} & a \\
y & \perp_{1} & a \\
z & \perp_{2} & b \\
z & \perp_{2} & c \\
\hline
\end{array}
$$

$$
Q(X, Y, Z)=R(X, Y) \wedge S(Y, Z)
$$

## Discussion

- Does SQL adopt the possible world semantics of Codd tables?


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NO: if city $=N U L L$ then city $=^{\prime} S F^{\prime}$ or city $!=^{\prime} S F^{\prime}$ should be true, but in SQL it is unknown.

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- What is the complexity of computing $\operatorname{cert}\left(Q, \boldsymbol{D}^{\prime}\right)$ when $Q$ is a CQ and $\boldsymbol{D}^{\prime}$ is a v-database?


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In PTIME! Compute $Q$ naively on the representation, return tuples that don't have a $\perp$.

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In PTIME! Compute $Q$ naively on the representation, return tuples that don't have a $\perp$.

- Theorem when $Q$ is in FO, then the complexity of $\operatorname{cert}\left(Q, \boldsymbol{D}^{\prime}\right)$ where $\boldsymbol{D}^{\prime}$ is a v-database is co-NP hard.


## Announcement

- No lectures next week! Join the workshop at Simons.
- The following week: two guest lectures by Val Tannen on semirings and their applications to databases.

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